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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/012,207

11/05/2001

Vibha Goel

11971/012001

20985 FISH & RICHARDSON, PC 4350 LA JOLLA VILLAGE DRIVE SUITE 500 SAN DIEGO, CA 92122 CONFIRMATION NO. 5310
FORMALITIES LETTER
\*CC000000007291842\*

Date Mailed: 01/10/2002

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$366.
  - \$198 for 11 total claims over 20.
  - \$168 for 2 independent claims over 3.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1236.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

740.00 0P 198.00 0P 168.00 0P 130.00 0P

03/22/2002 SSESHE1 00000088 10012207

# A copy of this notice <u>MUST</u> be returned with the reply.

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Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE





ORIGINALLY FILED Attorney's Docket No.: 11971-012001/

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Vibha Goel Art Unit: 2661 Serial No.: 10/012,207 Examiner: Unknown

Filed: November 5, 2001

Title : OPTICAL ROUTERS AND REDUNDANCY

### BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed January 10, 2002 (a copy of which is enclosed), applicant as a large entity submits herewith the following:

- Payment of the basic filing fee of \$740;
- Payment of the additional/multiple dependent claims fees of \$366;
- Payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration;
- A check in the total amount of \$1,236 is attached; and
- A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63.

#### CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit
Signature

March 11, 2002

Sharon Gebhart
Typed or Printed Name of Person Signing
Certificate

Attorney's Docket No.: 11971-012001

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: March 11, 2002

Bing Ai

Reg. No. 32,030

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